



are larger than those previously observed on the basis of classical markers (Batista dos Santos et al. 1999; Santos et al. 1999).

mtDNA analysis has been used extensively during the past 10 years, since the pioneering works of Vigilant (1990), Stoneking et al. (1991), and Vigilant et al. (1991). Phylogeographic analysis of mtDNA lineages from all over the world has led to the identification of mtDNA haplogroups that are specific to either Africans, Europeans, or Asians/Amerindians (Torroni et al. 1993, 1994, 1996, 1998; Chen et al. 1995; Richards et al. 1996; Watson et al. 1997; Kivisild et al. 1999a, 1999b; Macaulay et al. 1999; Metspalu et al. 1999). Haplogroup allocation of a given mtDNA lineage allows the assessment of its (sub)continental origin, so that the matrilineal ancestry of admixed populations can be evaluated well (Torroni et al. 1995; Bravi et al. 1997; Green et al. 2000; Rando et al. 1999).

In the present article, we follow this approach by sequencing part of the control region and by screening specific RFLP sites, to better understand the extent of the matrilineal genetic contribution of Europeans, Africans, Amerindians, and Asians to the gene pool of present-day Brazilians.

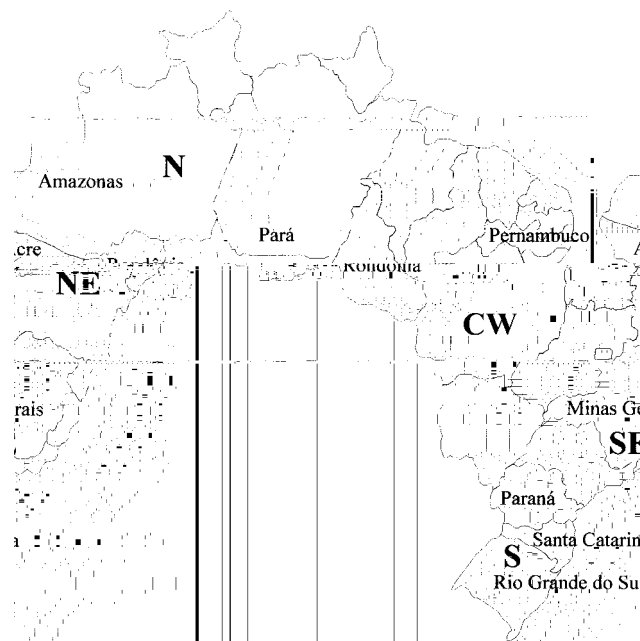
## Subjects and Methods

### Samples

We analyzed 247 unrelated Brazilian individuals (mainly classified as "white" in Brazil and belonging to the middle and upper-middle classes) who came from four of the five major geographic regions of the country (fig. 1). According to the Instituto Brasileiro de Geografia Estatística, responsible for the census in Brazil, 51.6% of Brazilians in 1996 classified themselves as white. In detail, there were 99 individuals from the southeastern (mostly from the state of Minas Gerais), 50 from the southern (states of Rio Grande do Sul, Santa Catarina, and Paraná), 48 from the northern (states of Amazonas, Pará, Rondônia, and Acre), and 50 from the northeastern (state of Pernambuco) regions. Thirty-seven individuals were students or staff in our laboratory, whereas 210 were randomly chosen unrelated participants in paternity-testing studies. Written consent was obtained from all participants, and all analyses were performed anonymously.

### mtDNA Control-Region Amplification and Sequencing

The nucleotide sequence of mtDNA hypervariable segment I (HVS-I), between nucleotide positions (np) 16060 and 16362, d



**Figure 1** Five major geographic regions of Brazil: N = northern, NE = northeastern, SE = southeastern, S = southern, and CW = central west. Brazilian states from which the mtDNA lineages of the present study have mainly been sampled are indicated by name.

to the method described by Chen et al. (1995) and Torroni et al. (1996), to screen haplogroup-specific sites (table 1). PCR amplifications were performed using the primers and conditions described by Torroni et al. (1992, 1993, 1996). Digestions were carried out according to the conditions specified by the manufacturer (Gibco BRL). The resulting fragments were resolved by electrophoresis in 1% agarose gels and were visualized by UV-induced fluorescence after ethidium bromide staining. Depending on the number and length of resulting fragments, they were visualized in 8% acrylamide gels after silver staining. The 12308 *HinfI* polymorphic site was analyzed using the mismatched primer described by Torroni et al. (1996).

### Phylogeographic Analysis

We build on the phylogenetic analyses of European (Richards et al. 1998; Macaulay et al. 1999) and African (Rando et al. 1998) mtDNA, which combine HVS-I and RFLP information. According to the nomenclature of those analyses, human mtDNAs are divided into three supergroups—L1 (+3592 *HpaI*, -10806 *HinfI*), L2 (+3592 *HpaI*, -16390 *HinfI*), and L3 (-3592 *HpaI*). L1 and L3 are further subdivided into haplogroups, which can be recognized by specific restriction sites (table 1). L1 and L2 are African specific, whereas L3 is ubiquitous but encompasses several haplogroups that are (nearly) continent specific. From HVS-I sequences

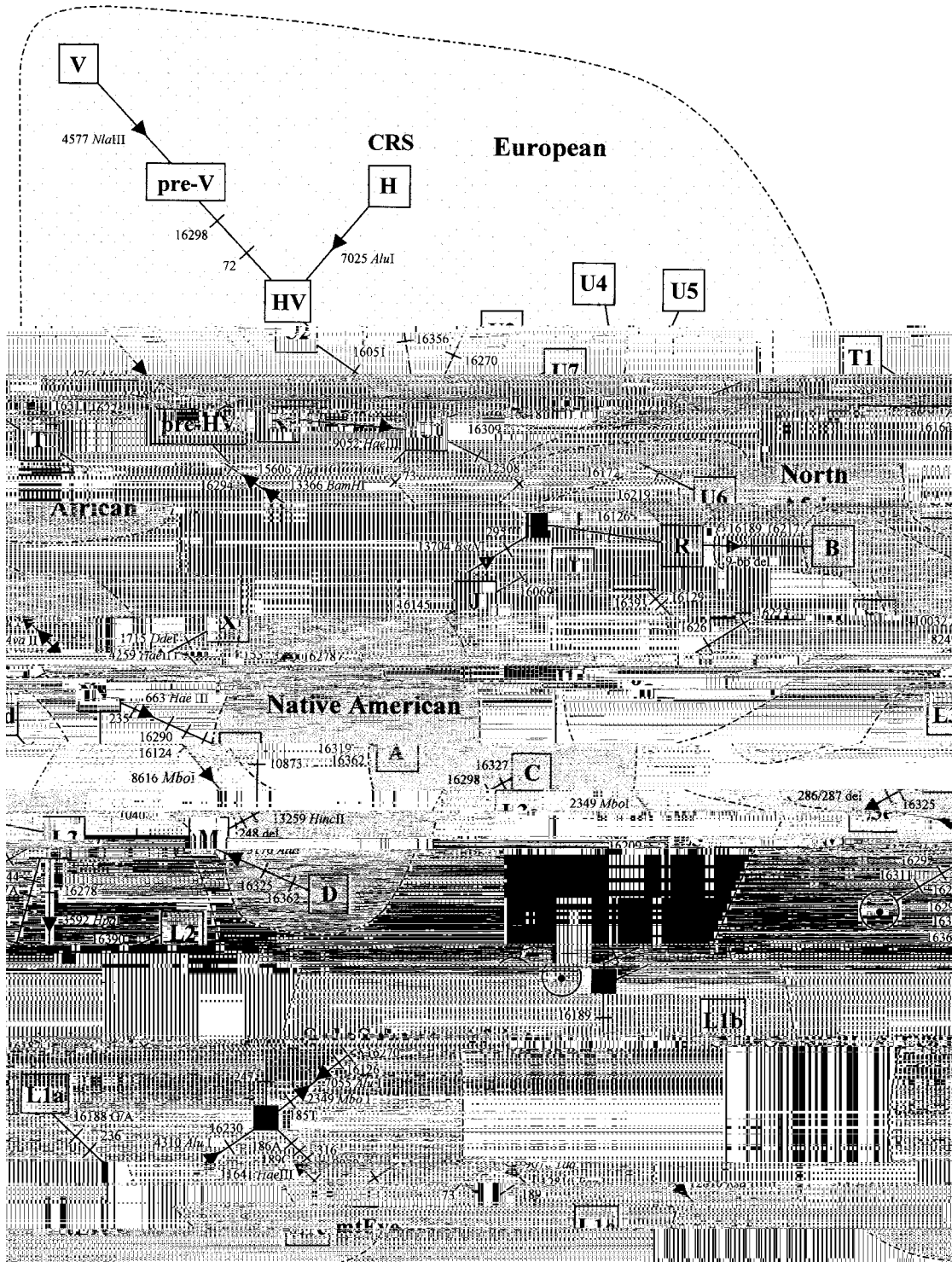
alone, the fine-grained haplogroup status can be read off only to some extent, and, therefore, their charF12 1 be

**Table 1**

RFLP Polymorphisms Used to Identify mtDNA Haplogroups and Geographic Origin

HAPLOGROUP	CHARACTERISTIC RESTRICTION SITE(S)	STATUS <sup>a</sup>		
		Sub-Saharan African	Native American	European
L1a	+3592 <i>HpaI</i> , +11641 <i>HaeIII</i>	+	-	-
L1b	+3592 <i>HpaI</i> , -7055 <i>AluI</i> , +2349 <i>MboI</i>	+	-	-
L1c	+3592 <i>HpaI</i> , +9070 <i>TaqI</i> , +12810 <i>RsaI</i>	+	-	-
L2	+3592 <i>HpaI</i> , +16389 <i>HinfI</i>	+	-	-
L3b	+10084 <i>TaqI</i>	+	-	-
L3d	-3592 <i>HpaI</i> , -8616 <i>MboI</i>	+	-	-
L3e	-3592 <i>HpaI</i> , +2349 <i>MboI</i>	+	-	-
A	+663 <i>HaeIII</i>	-	+	-
B	9-bp deletion	-	+	-
C	-13259 <i>HincII</i>	-	+	-
D	-5176 <i>AluI</i>	-	+	-
H	-7025 <i>AluI</i>	-	-	+
V	-4577 <i>NlaIII</i>	-	-	+
HV	-14766 <i>MseI</i>	-	-	+
U	+12308 <i>HinfI</i>	-	-	+
K	-9052 <i>HaeII</i>	-	-	+
J	-13704 <i>BstNI</i>	-	-	+
T	+13366 <i>BamHI</i> , +15606 <i>AluI</i>	-	-	+
I	-4529 <i>HaeII</i> , +8249 <i>AvaII</i> , +16389 <i>BamHI</i> , +10032 <i>AluI</i>	-	-	+
W	+8249 <i>AvaII</i> , -8994 <i>HaeIII</i>	-	-	+
X	-1715 <i>DdeI</i>	-	+	+

<sup>a</sup> A plus sign (+) denotes that the haplogroup is indigenous; a minus sign (-) denotes that it is not.



**Figure 2** Classification tree highlighting selected diagnostic sites and positions for haplogroups present in the Brazilian sample (see tables 1 and table 6). Each square represents the root node of the respective haplogroup, with the acronym inscribed; two central/eastern-African haplogroups, represented by circles, are only partially characterized (T. Kisivild, personal communication). "CRS" indicates the revised reference sequence (Andrews et al. 1999). Numbers along links refer to RFLP sites (with arrows pointing to presence of sites) or transversions, unless a single-letter suffix indicates a transversion. Note that some diagnostic sites and positions, especially in the control region, have undergone



BR41	1	1	.....T.....	.....G.....	.....C.....	.....CT.....	C
BR42	1	1	.....T.....	.....A.....	.....C.....	.....CT.....	C
BR43	1	1	.....T.....	.....C.....	.....T.....	.....CT.....	C
BR44	2	2	.....T.....	.....T.....	.....C.....	.....CT.....	C
BR45	1	1	.....T.....	.....T.....	.....T.....	.....C.....	D
BR46	1	1	.....T.....	.....T.....	.....T.....	.....C.....	D
BR47	1	1	.....T.....	.....T.....	.....T.....	.....C.....	D
BR48	1	1	.....T.....	.....A.....	.....T.....	.....C.....	D
BR49	1	1	.....T.....	.....T.....	.....T.....	.....C.....	D
BR50	1	1	.....T.....	.....C.....	.....T.....	.....C.....	D
BR51	1	1	.....T.....	.....C.....	.....T.....	.....C.....	D
BR52	1	1	.....T.....	.....T.....	.....G.....	.....C.....	D
BR53	1	1	.....T.....	.....T.....	.....G.....	.....C.....	D
African:							
BR54	1	1	.....T.....	.....C.....	.....TGC.....	.....T.G.....	L1a
BR55	2	2	.....A.....	.....T.....	.....TC.....	.....T.G.....	L1a
BR56	1	1	.....A.....	.....T.....	.....TC.....	.....T.G.....	L1a
BR57	1	1	.....A.....	.....T.....	.....TC.....	.....T.G.....	L1a
BR58	1	1	.....C.....	.....A.....	.....TGC.....	.....T.G.....	L1a
BR59	1	1	.....C.....	.....A.....	.....TGC.....	.....T.G.....	L1a
BR60	1	1	.....T.....	.....C.....	.....T.C.....	.....T.T.....	L1b
BR61	1	1	.....C.....	.....C.....	.....T.C.T.....	.....T.T.....	L1b
BR62	1	1	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L1c
BR63	1	1	.....C.....	.....A.....	.....T.C.....	.....T.C.....	L1c
BR64	1	1	.....T.....	.....C.....	.....T.C.....	.....T.C.....	L1c
BR65	1	1	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L1c
BR66	2	2	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L1c
BR67	1	1	.....T.....	.....T.....	.....T.C.....	.....T.C.....	L1c
BR68	1	1	.....T.....	.....T.....	.....T.C.....	.....T.C.....	L1c
BR69	1	1	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L1c
BR70	1	1	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L1c
BR71	1	1	.....C.....	.....A.....	.....T.C.....	.....T.C.....	L1c
BR72	1	1	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L1c
BR73	1	1	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L1c
BR74	1	1	.....T.....	.....C.....	.....T.C.....	.....T.C.....	L2
BR75	1	1	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L2
BR76	1	1	.....T.....	.....C.....	.....T.C.....	.....T.C.....	L2
BR77	1	1	.....T.....	.....C.....	.....T.C.....	.....T.C.....	L2
BR78	1	1	.....T.....	.....C.....	.....T.C.....	.....T.C.....	L2
BR79	1	1	.....T.....	.....C.....	.....T.C.....	.....T.C.....	L2
BR80	1	1	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L2
BR81	2	2	.....T.....	.....C.....	.....T.C.....	.....T.C.....	L2
BR82	1	1	.....T.....	.....C.....	.....T.C.....	.....T.C.....	L2
BR83	1	1	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L2
BR84	1	1	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L2
BR85	1	1	.....T.....	.....C.....	.....T.C.....	.....T.C.....	L2
BR86	1	1	.....A.....	.....A.....	.....T.C.....	.....T.C.....	L2
BR87	1	1	.....C.....	.....C.....	.....T.C.....	.....T.C.....	L3d
BR88	1	1	.....C.....	.....C.....	.....T.C.....	.....T.C.....	L3d
BR89	1	1	.....C.....	.....C.....	.....T.C.....	.....T.C.....	L3d
BR90	1	1	.....T.....	.....C.....	.....T.C.....	.....T.C.....	L3e









**Table 4**

Frequency of Continent-Specific mtDNA Haplotypes in the Brazilian mtDNA Pool

CONTINENTAL FRACTION	FREQUENCY				
	Brazil	Northern	Northeastern	Southeastern	Southern
Native American	.33	.54	.22	.33 <sup>a</sup>	.22
African	.28	.15	.44	.34	.12
European	.39	.31	.34	.31	.66

<sup>a</sup> Excludes the single lineage of confirmed Asian ancestry.

the Gulf of Guinea (central Africa), however, have an

**Table 5****Haplogroup Frequencies within the Three Continental Fractions of Brazilian mtDNA Pool**

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HAPLOGROUP

regions of the country. The highest Amerindian influence was observed in populations of the Amazonian region, where a study analyzing 11 urban populations by use of nuclear markers observed an average of 41% Amerindian ancestry (Santos and Guerreiro 1995). Recent mtDNA analysis of another population from the northern region showed an even higher Amerindian contribution (59% [Batista dos Santos et al. 1999]). In our sample, we also observed a high Amerindian influence in the northern region (54%), corroborating the mtDNA data obtained by Batista dos Santos et al. (1999). In the other regions of Brazil, the genetic contribution from Amerindians is also markedly higher for mtDNA than for nuclear DNA: 22% (table 4) versus 13% (Krieger et al. 1965; Franco et al. 1982; Conceição et al. 1987) in the northeastern region and 22% (table 4) versus 11% (Dornelles et al. 1999) in the southern region. For the southeastern region, where we have detected 33% frequency of mtDNA lineages of Amerindian ancestry, not a single study with nuclear markers has yet been performed, probably because one did not anticipate measurable Amerindian genetic influence on urban populations (Salzano 1997). As for African admixture in the white Brazilian population, the picture is similar to what we have seen for the Amerindian genetic input: mtDNA analysis (table 4) suggests a higher contribution than that by nuclear markers, for which 12% in the northern region (Santos et al. 1996a), 36% in the northeastern region (Franco et al. 1982; Arpini-Sampaio et al. 1999), and 10% in the southern region (Dornelles et al. 1999) were reported; again, no nuclear data are available for the southeastern region.

The allocation of haplogroups to continents (as indicated in table 1) is, of course, not absolutely clear-cut. For instance, the European haplogroups H and U5 do occur in the sub-Saharan mtDNA pool, albeit in only two founder types (bearing transitions at np 16145 and 16222 for the H type and transitions at np 16189, 16192, 16270, and 16320 for the U5 type), possibly transmitted by Berbers or, even earlier, during the Saharan Neolithic age (Rando et al. 1999). None of these particular mtDNA lineages occur in our Brazilian sample. Similarly, northern-African U6 haplotypes have penetrated the Sahara and are found sporadically from the west (Senegal) to the east (Kenya). We consider it most plausible that the four U6 lineages in our sample have come from western Africa. On the other hand, African haplotypes were also transmitted, in low numbers, to Europe, especially to the Mediterranean area. African mtDNA lineages, then, constitute erratic outliers in the respective mtDNA samples, for instance, such as the L1c lineage in the British data of Piercy et al. (1993).

There is one caveat with regard to the distinction between European mtDNA haplotypes and Native

American ones: haplogroup X is shared by western Eurasia and North America (Brown et al. 1998; Smith et al. 1999), although there is as yet no compelling evidence for the occurrence of haplogroup X in Central or South America. The three X haplotypes that we detected in the Brazilian sample are certainly of European ancestry, since BR169 and BR170 do not bear the np-200 transition that is characteristic of (most of) the Native American haplogroup X (Brown et al. 1998), whereas BR168 (for which no HVS-II information is available) bears a transition (namely, at np 16248) already observed in Europe (Richards et al. 1998).

The distinction between Asian and Native American mtDNA haplotypes is more intricate inasmuch as haplogroups A–D are of Asian origin. Fortunately, the Native American A, C, and D founder HVS-I and HVS-II types can be distinguished from Asian haplotypes by mutations that are virtually absent, or at least rare, in Asia. The transition at np 16325 is (almost) diagnostic for Native American C and D haplotypes; the 2-bp deletion in HVS-II seems to be characteristic of Native American C (table 6; also see Ginther et al. 1993; Kolman and Bermingham 1997), since it has not been reported in Asian mtDNAs so far (Lee et al. 1997). The “Beringian” transition at np 16111 is seen in most Native American A lineages but is virtually absent in Asia (Horai and Hayasaka 1990; Horai et al. 1993; Torroni et al. 1993; Kolman et al. 1996; Lee et al. 1997). Thus, only haplotype BR16, which, incidentally, matches an mtDNA lineage from Hokkaido (Horai et al. 1996),

**Table 6**

**HVS-II Haplotypes in Southeastern Brazil**

HAPLOTYPE <sup>a</sup>	NUCLEOTIDE POSITION <sup>b</sup>	
	11111111111111112233333333	
	779904555888888889990000122223334445666788990011122	
	233536012323568945890347756785694782035967579955657	HAPLOGROUP
CRS	TAAAGTCCTACAGCAACTCTAGTGTGTAGATTAGATGATTAACA---GCC	
Native American/Asian:		
BR1	.G...C...G.....G.....G.....--C...	A
BR2	.G...C...G.....G.....G.....C--C...	A
BR3	.G...C...G.....G.....G.....C--C...	A
BR7(2)	.G...C...G..A.....G.....G.....CC-C...	A
BR8	.G...C...G.....C..G.....G.....--C...	A
BR9	.G...C...G.....C.....G.....G.....C--C...	A
BR10	.G...C...G.....G.....G.....C--C...	A
BR11	.G...C...G.....G.....G.....C--C...	A
BR12	.G...C...G.....G.....G.....CC-C...	A
BR14	.G...C..CG.....G.....G.....GC--C...	A
BR16		



of Brazil. It needs to be emphasized that genetic distances, although trivial to compute, between the Brazilian mtDNA sample and mtDNA samples representing potential source populations would not allow the calculation of reliable admixture proportions, as demonstrated by Rando et al. (1999) in the case of the mixed population from the Canary Islands.

One could also use a reverse approach and infer the mtDNA profile of a source population from that of the target mixed population (given sufficient information on the other participating source populations). For instance, no mtDNA data for Angola are available—yet, since Angola was the major source of African slaves brought to Brazil, we can make inferences on how the mtDNA pool of Angolans would look: we should expect (i) a considerable number of L3e lineages—in particular, those bearing the np-16327 transition, also observed in the Herero and in other southern African populations

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